Rolling Hills Estates Improvement Association Architectural Control Committee 10 Rolling Hills Drive, Sedona, AZ 86336

Table of Contents

Part II	Approval Application Package	Page
	New home construction package	
	Form A – General Information	1
	Form B - Checklist	2-4
	Form C- Delegation of Authority	5
	Form D – Construction Practices	6-10
	Form E – Indemnity Provision	11
	Form F – Contractor/Owner Variance Request	12
	Form G – Planning/Zoning Variance Report	13
	Form H – Field Inspection Report	14
	Form I – Construction Log Recap	15
	Form J – Field Inspection Authorization	16
	Form K – Construction Rules – Sign Posting	17-18

Rolling Hills Improvement Association Architectural Control Committee 10 Rolling Hills Drive, Sedona, AZ 86336

New Home/Major Remodel Plan Approval Application Package

Lot#	
Date	

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form A – General Information

<u>Homeowner</u>				
Mailing Address:				
Lot #:				
Cell Phone #:	Pho	one #:	Fax #:	
City Permit #:	Date	Issued:		
A.C.C. Contact (All prol	olems or concerns,	please fol	low through with	the below first)
Name:	N	lame:		
Phone #	one # Phone #			
Responsible Party Cell Phone #:	Office #		Fa	nx #
<u>Contractor</u>				
Arizona License number: Address:				
Cell Phone #:				
Anticipated Start Date:	Actual St	tart Date: _		
Anticipated Completion Date:		Actual Co	mpletion Date:	
Livable square feet:	_ft (CC & R's	Article 16	6, page 5)	
Set Back Footage from	Front:	ft	Rear:	ft
(standing in front)	Left side:	ft	Right side:	ft
Base point elevation:	(lov	west of two	o base points on su	ırvey)
Finished floor elevation:	Bench	mark elev	ation:	
Roof height – elevation from b	ase point:	fee	et (18' max)	

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form B - Checklist

Checklist must be completed in full prior to submission for approval!

The following items MUST be submitted in writing to the A.C.C. and be approved prior to commencement of excavation or construction. Mark all completed items with a check mark in the () column. There will be a \$50.00 fee for all items not submitted or incomplete at the original presentation.

<u>\$50.00</u>	(_)
	Form A – "General Info" All data completed
	Form B – "Checklist" review your package – must be complete
	Form C – "Delegation of Authority" signed
	Form D – "Construction Practices" signed
	Form E – "Indemnity Provisions" signed & witnessed
	Form F – "Contractor/Owner Variance Request" signed
	Form G – "Planning/Zoning Variance Request" signed
	Form H – "Field Inspection Report" signed
	Form I – "Construction Log Recap" signed
	Form J – "Field Inspection Authorization" compete known data
	Form K – "Construction Rules/Sign Posting" signed
	Copy of Approval building permit and ID # from City of Sedona (Permit can be delivered after city approval – approved plans held until permit received)
	Exterior Lighting – Side wall, 12 – volt landscaping, exterior ceiling (Provide pictures, specification sat appropriate time/location on plans at presentation)
	Exterior color – (Provide samples/color chips)
	House color # Trim color # Roof color #

 <u>Lot survey</u> stamped by Licensed Surveyor – Lot # and parcel # on all pages
 - Bench mark and base point location on plans (before any changes in grades – benchmark use street utility cover or rebar in concrete)
 - The grading plan for the log indicating the original topography and topography after grading. Based on City of Sedona evaluation datum.
 - Native trees on the lot that will be removed and those that will remain or be transplanted. Please review your plans to minimize tree loss.
 - Show setback dimensions to structure(s) from property lines (Minimum front/rear 20'/20', side yard 10' or for corner lots 20' from the street.)
 Two complete sets of final construction drawings.
 - Lot #, parcel # on all pages of plans – engineering stamp where appropriate
 - Index of drawings
 - Elevation plans – Side views of home showing height from original ground to highest point on the house (excluding chimneys)
 - Floor plans and construction drawings
 - Landscaping plans with drip system line flow – note all plant varieties (Number of trees needed pre house size Areas of the 10' perimeter rule)
 - Location and provisions for sound control of cooling equipment (At least three sides must have a wall – house can be one wall)
 - All new homes shall include rain gutters or scuppers with downspouts with provisions for yard drainage acceptable to ACC.
 - Dimension of all piers/post (house 18" min, deck 14")
 - All new homes shall include proper surface water drainage plans to prevent damage to adjacent properties and streets. Note all swales on plans.
- Note on plans all vent locations and types (NO TURBINES!)

			und control and drainage of bac ponsible for all required Engine	
		and are familiar with the	I-VII arty have received a set of ACC heir content. Below are the only am's regarding Rolling Hills Es	questions we have
Record	of Change	o Orders:	Responsible Party	Date
Cha	nges or dev	riations from approved p	lans (after plans have been apprd - \$100.00 per change order if	
<u>Fee</u>	<u>Date</u>	Description of change	es (in writing with appropriate i	nformation)

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form C – Delegation of Authority

Who will the Architectural Control Committee deal directly with "The Responsible Party" regarding issues with the building of your new home.

Signature	Date
	(Sign and put cross through the below)
	OR
	(Assign the person below in your place)
Delegated Authority (A	Agent, architect, contractor, relative, etc.)*
Name:	
Company:	
Address:	
	Eow.
Phone:	meowner:

^{*}Homeowner understands he remains solely responsible to the association for all construction fines, fees and/or expenses.

^{*}Homeowner should review his contract with his contractor regarding responsibility of any construction fines incurred.

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

$Form\ D$ — Agreement relative to the construction practices to be followed in constructing a single family residence in Rolling Hills Estates.

Owner(s) Name(s):	
Telephone Number:	
Lot Number(s):	
	Must be currently licensed in the State of Arizona.
*Arizona License #:	
Address:	
Phone #:	

(Required for the construction in Rolling Hills Estates)

The undersigned Licensed General Contractor, in consideration of his selection as the General Contractor for the construction of a residence in Rolling Hills Estates, in the City of Sedona, Coconino County, Arizona, and in consideration of the permission granted to him by the Rolling Hills Estates Improvement Association to use the private roads of Rolling Hills Estates for commercial purposes and for the construction of this propose residence, does herby agree to follow the construction practices and procedures set forth below:

- 1. The construction of the residence will not entail any encroachment on lots owned by other property owners in Rolling Hills Estates:
 - a. All materials and equipment used in the construction will be stored on the property where the residence is to be built. No such materials or equipment will be stored on property of other lot owners in Rolling Hills Estates nor will it be stored on the roads of Rolling Hills Estates. It is agreed that if any material or equipment is stored in a manner contrary to this portion of the agreement the Contractor will pay Rolling Hills Estates Improvement Association \$50 (fifty dollars) per day for each day the materials or equipment are stored. Moreover, the

materials or equipment may be removed by order of an officer of the Rolling Hills Estates Improvement Association and the cost of such material will be paid by the General Contractor without protest. Building materials or equipment may however, be stored on the roads of Rolling Hills Estates or on property owned by another lot owner, provided such storage is agreed to, in writing, by the person or persons who own the property involved, or in the case of Rolling Hills Estates, is agreed to in writing by the Architectural Control Committee. A copy, signed and dated by the Owner, of such written agreement with other property owners shall be filled with and accepted by the Architectural Control Committee before the storage of materials or equipment takes place.

- b. Except as provided below, no trucks, cars, backhoes, bulldozers, cranes or other equipment used in construction or used in transporting equipment, materials or personnel used in construction will traverse property owned by any other lot owner in Rolling Hills Estates. No hoses, extensions cords, etc. will be connected to the utility outlets of another lot owner except as provided below. It is agreed by the general contractor that any such misuse of the property of others will, in each instance, result in the payment of a penalty of \$200 (two hundred dollars) to the Rolling Hills Estates Improvement Association. Cars, trucks, backhoes, cranes or other equipment may, however, traverse the property of another lot provided:
 - 1. The other lot owner has agreed in writing
 - 2. A signed and dated copy of that agreement has been previously filled with and accepted by the Architectural Control Committee, and
 - 3. The adjacent lot will be restored to its original condition after such use.
- 2. The roads of Rolling Hills Estates are not designed to carry heavy loads and, therefore, a 15-ton load limit has been posted at the entrance to the Subdivision. The General Contractor agrees that no truck or equipment which exceeds this 15-ton limit will use the roads of Rolling Hills Estates in connection with the construction of the subject residence. The operator of any truck or any piece of equipment will, when requested by an Officer of Rolling Hills Estates Improvement Association furnish accurate information on the unloaded weight of the truck or piece of equipment and the weight of any material, supplies or other cargo carried by the truck or piece of equipment. For purposes of this section of the agreement, a tractor-trailer arrangement is to be considered as a single truck unit. A vehicle or piece of equipment, with a total loaded weight in excess of 15tons, may not enter the roads of Rolling Hills Estates. If a vehicle used by the General Contractor or an agent or subcontractor of the General Contractor enters Rolling Hills Estates with a gross weight in excess of 15-tons it is agreed that the General Contractor will pay a penalty of \$100 (one hundred dollars) for each ton or fraction of a ton in excess of 15-tons. This payment shall be made to Rolling Hills Estates Improvement Association. If the driver of the vehicle, or the operator of a piece of equipment, refused to document the total weight of his unit to an Officer of Rolling Hills Estates, the driver or operator shall immediately leave Rolling Hills Estates, taking the subject truck or piece of equipment from the Subdivision and he/she/or his employees shall not be permitted to use the private roads of Rolling Hills Estates, in the future for any construction purposes,

Oct 00

- unless reinstatement is granted by a recorded vote of the Rolling Hills Estates Improvement Association Board of Directors. The Rolling Hills Estates Improvement Association will supply the General Contractor a list of those persons and/or their employees who will not receive authorization for commercial use of the private roads of Rolling Hills Estates. The General Contractor will not then extend the authorization granted to him to any sub-contractor or person so listed by the Rolling Hills Estates Improvement Association.
- 3. It is agreed that any truck or units of equipment whose wheels leave the roads of Rolling Hills in order to enter or approach closer to the construction area will do so only at one designated point in order to minimize damage to the road. Moreover, at the point one selected for entry, the General Contractor will provide adequate protection to the road so that damage to the road will not occur where truck or equipment wheels leave the roadway. Any damage to the road during construction will be repaired by the Rolling Hills Estates Improvement Association in a workmanlike manner, and the cost of these repairs shall be paid by the General Contractor.
- 4. A portable toilet (or toilets) will be kept on the site at all times during construction and will be maintained on a weekly basis.
- 5. If it is found that the "Construction Rules" sign is not posted on site during the construction period, a \$10/day fine will be levied for non-compliance until the sign is put in place.
- 6. Radios, tape players, or other sound reproduction devices will not be used on the site during construction in a manner that disturbs adjoining or nearby lot owners. Any Officer of Rolling Hills Estates Improvement Association may act as an arbiter in deciding whether sound levels are objectionable. His/her decision shall be final and without recourse. A fine of \$50/per incident can be levied.
- 7. No construction work shall begin before 6:00 AM Mountain Standard Time Monday thru Friday, not before 8:00AM on Saturday, nor before 9:00AM MST on Sunday. All construction work shall be finish by sunset seven days a week.
- 8. All rubbish and construction debris shall be confined to the construction site in a dumpster or other enclosed container and so handled that wind or rain shall not cause it to be deposited elsewhere on the property of other lot owners or on the streets of Rolling Hills Estates. Burning of trash and debris on site is not allowed.
- 9. Excavation dirt, fill dirt, cement, plaster or water used to wash out cement trucks or to clean equipment shall be confined to the construction site and disposed of properly by the General Contractor.
- 10. No living quarters shall be kept on the construction site such as trailers, campers, motor homes or other accommodations. No one shall stay on the premises overnight. No exceptions.
- 11. All workmen and others involved in construction will obey the posted speed limit of 15 miles per hour in Rolling Hills Estates.
- 12. Construction will proceed with strict adherence to the approval plans. If changes are made in those plans, the changes require the prior written approval of the ACC.

- 13. Removal of trees and shrubs should be minimized, and such removals shall receive the approval of the Architectural Control Committee. Guidelines are generally in accordance with the City of Sedona ESL regulations.
- 14. The Deed Restrictions of Rolling Hills Estates forbids any advertising signs.
- 15. If the Owner is to perform any of the "finish work" and/or landscaping, he/she will follow the requirements and procedures as herein required of the Licensed General Contractor.
- 16. The General Contractor agrees that he will accept full responsibility for the conduct of his agents or sub-contractors in complying with this agreement. Failure of such agents or sub-contractors to comply with all the terms of this agreement will be deemed by all parties to be a failure of the General Contractor to exercise control over his agents or sub-contractors. The General Contractor agrees that if his agents or sub-contractors violate any terms of this agreement, he, the General Contractor, can be held responsible in the same manner and to the same degree as if he or his employees had been responsible for the violation.
- 17. The City of Sedona conducts building inspections during the course of construction. The construction stages which require a building inspection are identified by the City before construction begins. The Contractor agrees that when a specific stage of construction, so identified by the City as a stage requiring City inspections has been reached, no further construction will take place until the City has approved that stage and permitted construction to continue.
- 18. The Owner/Contractor shall notify the ACC contact person(s) when the foundation is ready to be poured so that the setbacks can be measured.
- 19. The Owner/Contractor shall notify the ACC contact person(s) when the framing is completed far enough so that the height of the residence can be measured.
- 20. The undersigned General Contractor agrees that the above provisions relating to the construction of a residence in Rolling Hills Estates are reasonable and proper in protecting the interest of all parties concerned.

*Licensed General Contractor	*License # & Expiration Date (State of Arizona)

*Note: Only General Contractors currently Licensed in the State of Arizona are allowed to construct new homes, including major revamps and remodels, in Rolling Hills Estates.

The undersigned property owner for whom the subject residence is being constructed affirms the above agreements, and, in consideration of the permission granted to the General Contractor to use the private roads of Rolling Hills Estates, agrees the above agreement shall be incorporated into and become a part of any agreement between the Owner and the Contractor, and the Owner guarantees the Contractor's performance of said agreements. Owner further agrees that any charges properly levied against the General Contractor under the above agreement which are not paid by the General Contractor shall be paid by the Owner and if not paid promptly, the charges may be filed as a lien on the land and improvements of Owner until they are paid by the owner or the General Contractor. For the purpose of this agreement, the Contractor shall be deemed the agent of the Owner and the Owner shall be fully responsible for the Contractor's performance hereunder.

The Owner affirms that he has read the Construction Fee Schedule and agrees that he is responsible for all charges properly levied as part of that schedule. The Owner should review his contract with his Contractor regarding responsibility of any construction fines incurred.

The Owner also agrees that if the residence is not to be occupied immediately, or full time, an automatic watering system will be provided by the Owner for any new plantings. Any plantings which die due to but not limited to the completion of the residence and landscaping in accordance with the submitted and approved plans.

The Owner expressly agrees that the Homeowner's Association is authorized to obtain a court order mandating the Owner's specific performance of his contractual obligations under this agreement, including but not limited to the completion of the residence and landscaping in accordance with the submitted and approved plans.

The Owner of the property also agrees that once construction begins, the property will not be sold prior to the time the residence and the landscaping have been completed in accordance with the plans approved by the Architectural Control Committee, unless the Owner's proposed purchase commits contractually to completing the residence and landscaping in accordance with the plans.

Property Owner	Date	
Property Owner	Date	
Officer of Rolling Hills Estates Impr	ovement Association	

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form E – Indemnity Provisions

In consideration of the approval of the proposed improvements to the lot, the owner agrees to indemnify and hold harmless the Rolling Hills Estates Improvement Association, Inc ("Association",) the Architectural Control Committee ("A.C.C."), its members, and any other agents, servants and employees of the Association from any and all claims, causes of action and damages, whatsoever, including attorneys fees and costs of litigation, arising out of or in any way related to the construction of the improvements on the property, including, but not limited to, the diversion or drainage of water onto adjoining lots or property.

Dated this day of	20
Contractor	Lot #
Lot Owner	Lot #
Witness	

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form F – Contractor Variance/Owner Request

Please list all deviations or exceptions from the construction guidelines (Form D) agreement requested for the proposed project. All requests for use of neighbors' property for storage of materials or equipment or crossing neighbors lot with equipment must be accompanied by the lot owners' authorization in writing

	the lot owners' authorization in writing	1
	No deviations or exceptions requested	
	Contractor	Lot #
1.		
2.		
۷.		
2		
3.		

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form G – Planning & Zoning Variance Statement/Request

Please state below details of any areas in the plans which may require that a variance be requested from applicable City of Sedona planning and zoning requirements, building codes or other applicable legal construction requirements. This statement must show page numbers and titles. If it later develops that some deviation from existing legal requirements is to be requested from appropriate authorities, the deviation from such requirements must first be reviewed and approved by the ACC.

A. No variance requested		
•	Homeowner	Date
Or		
B. Variance requested		
	Homeowner	Date

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form H – Field Inspection Report

Lot #	Homeowner	
A. Actual Start Date:	Contractor Initial:	ACC Initial:
(When ground is broken)		
B. Benchmark – Date:	Elevation:	ACC Initial:
(Small rebar imbedded in co	oncrete or street utility cover – mu	st not be disturbed)
C. Portable toilet/Construction (Must be on site at start of e	Rules on site – Dateexcavation)	ACC Initial:
D. Field Inspection of set-backs	Ordered	ACC Initial:
(Order minimum 7 days pri	or to concrete pour)	
E. Dumpster/other enclosed cor	ntainer for construction debris.	ACC Initial:
(Must be on site at start of f	raming)	
F. Field Inspection of height -	Ordered	ACC Initial:
(Order minimum 7 days price	or to framing height completion)	
G. Final Field Inspection – Con	struction completed	Ordered
Property clear of all constru	ction material/equipment	ACC Initial:
Drainage/landscaping per pl	lan # of trees 10' rule	ACC Initial:
Exterior lightning, vents, gu	itters & downspouts	ACC Initial:
Street clean no damage, stai	ns or gouges	ACC Initial:
Property/home inspected for variances and approved		ACC Initial:
Date/ACC Random Inspection	ng Hills – 7.5 cubic yards of Visits (Trash, toilet on site, excess t obstruction/damage, encroachme	ive noise, start/end time,
Contractor	Homeowner ling of field inspection criteria)	

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form I – Construction Log Recap

Lot #	Homeowner	
Paid	\$	Deposit
Less incomplete approval pkg - \$50	per item \$	ACC Initial
Less Initial Engineering Plan review	\$	ACC Initial
Less Field Inspection set-backs	\$	ACC Initial
Less Field Inspection height	\$	ACC Initial
Less "Field Changes": Less	\$	ACC Initial
_	\$	ACC Initial
Less	<u> </u>	ACC Initial
Less	<u> </u>	ACC Initial
Less	\$	ACC Initial
Deposit refund \$	ACC Initial	
Homeowner	Contractor	

Basis of field change fees:

\$50.00 charge for approved field changes, \$100.00 for unapproved field changes, \$100.00 for each additional plan review after the first presentation to ACC, additional field inspections at cost. ACC will complete final inspection of property within 14 days of a request from responsible party and will final out construction deposit and return any outstanding deposit monies within 30 days of final inspection.

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form J – Field Inspection Authorization

Setbacks

Lot #:	Contact Perso	on:		
Phone #:	Property Address:			
Engineering firm auth	orized for field ins	pection:		
Contact:		_ Phone #: _		
Survey markers found	: Front: B	ack:	Left Side: _	Right Side:
Setbacks – Per plan:				
Front: E	Back:	_ Left Side: _		Right Side:
Setbacks- Per field ins	pection:			
Front: E	Back:	_ Left Side: _		Right Side:
Field engineer:			Date	::
Inspection prior to foo	tings poured – Ple	ase give 7 da	ay notice for	inspection – Contractor call
Roof height – 18	3' Maximum	per adde	endum I o	of ACC Guidelines
Lot #:	Contact Perso	on:		
Phone #:	Property Ac	ddress:		
Engineering firm auth	orized for field ins	pection:		
Contact:		_ Phone #: _		
Benchmark in place _	(Y/N)	Distur	bed:	(Y/N)
Roof elevation per pla	n:	_ Per fie	eld inspection	ı:
Field engineer:			Date	::
Inspection on complet call	ion of roof framin	g – Please gi	ve 7 day noti	ce for inspection – Contractor
ACC authorization for	the above work o	rders:		

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Form K – Construction Rules – Sign posting

Number: One per lot

Location: Within six feet of the most common entry path to the work site. The intent is for your workers to easily see the sign and the sign not be in your way. Best solution is mount the sign on wood backing and attach to post.

Time Frame: The above mentioned sign must be posted on site within 7 (seven) days of receipt and must remain on site until the final inspection of the home has been completed.

Penalty: If it is found that the "Construction Rules" sign is not posted on site during construction period, a \$10/day fine will be levied for non-compliance until the sign is put in place.

Deposit: \$50.00 per sign deducted from the construction deposit if not returned to Rolling Hills ACC on completion of construction.

Sign checked out to:		
	Date:	
ACC Member		

Rolling Hills Estates Improvement Association 10 Rolling Hills Drive, Sedona, AZ 86336

Rolling Hills Construction Site Rules

Allowable Work Schedule:

Monday – Friday: 6:00AM to Sunset

Saturday: 8:00AM to Sunset

Sunday: 9:00AM to Sunset

Restricted Parking:

No parking opposite side of street from construction site.

Rubbish:

The site must be kept clean daily. All construction debris in an enclosed container or dumpster.

Street Damage:

Any stains from liquid/material spills or dirt from site must be cleaned up immediately!

Radios

Noise restricted to job site!!

A fine may be levied for failure to comply with any of the above site rules. Thank you for your compliance. – A.C.C. Committee